

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In Re the Application of:

WENBIN JIANG, ET AL.

Application No.: 09/745,033

Filed: December 20, 2000

For: **Method and Apparatus for Vertical Board
Construction of Fiber Optic Transmitters,
Receivers and Transceivers**

Art Group: 2874

Examiner: Stahl, Michael J.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted concurrently with the Request for Continued Examination. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Some of these references were cited in a related patent application, Application No. 09/321,308 filed May 27, 1999 by Wenbin Jiang et al., which Applicant hereby cross-references and hereby discloses.

TECHNOLOGY CENTER 2800

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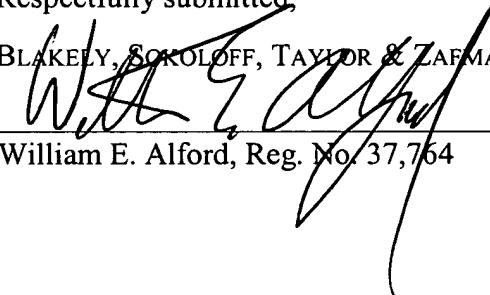
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Date: November 20, 2003

Respectfully submitted,

BLAKELEY, SOKOLOFF, TAYLOR & ZAFMAN LLP



William E. Alford, Reg. No. 37,764

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Costa Mesa, CA 92626
Telephone: (714) 557-3800

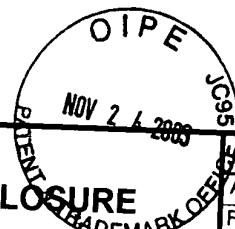
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Susan McFarlane

11-20-03

Date



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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| <i>Complete if Known</i> | |
|--------------------------|-------------------|
| Application Number | 09/745,033 |
| Filing Date | December 20, 2000 |
| First Named Inventor | Wenbin Jiang |
| Art Unit | 2874 |
| Examiner Name | Stahl, Michael J. |
| Attorney Docket Number | 3918P002C |

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

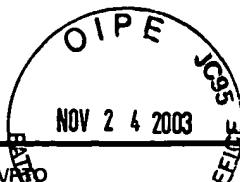
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| Examiner Signature | | Date Considered |
|-----------------------|--|--------------------|

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not consider part of the record. Copy of this form will be provided to applicant if not included in next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant's name here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solotoff, Tautog & Ziegler, Inc.

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Substitute for form 1449A/PFO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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| <i>Complete if Known</i> | |
|---------------------------------|-------------------|
| Application Number | 09/745,033 |
| Filing Date | December 20, 2000 |
| First Named Inventor | Wenbin Jiang |
| Art Unit | 2874 |
| Examiner Name | Stahl, Michael J. |
| Attorney Docket Number | 3918P002C |

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Sotokoff, Taylor & Zafman (wtr) 08/11/2003.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NUMBER YKI-0030 SERIAL NUMBER 09/501,024 FILED 02/09/2000
 FIRST INVENTOR: Komiya et al. TITLE ELECTROLUMINESCENCE DISPLAY DEVICE

EXAMINER Dung T. Nguyen ART UNIT 2871

1. Applicant submits herewith a copy of (a) attached form PTO-1449, which lists all patents, publications, applications, or other information submitted for consideration by the Office; (b) a legible copy of each document required by 37 C.F.R. §1.98(b)(2).
2. Applicant herein apprises the Patent Office of references cited in a parent U.S. application from which this application obtains the benefit of an earlier filing date under 35 U.S.C. §120. The Serial Number of the parent application is U.S. Application _____ filed _____ and entitled _____. The publications cited therein are listed on attached Form PTO-1449. In accordance with 37 C.F.R. §1.98(d) copies of the listed publications are not required. Additional parent patent applications are listed on an attached sheet.
3. In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for each reference not in English (check at least one box below):
 - A copy of a translation of one or more non-English document, or portion thereof, is provided herewith;
 - A concise explanation is (check at least one box below): _____
 - provided in the accompanying foreign or international search report; incorporated into the specification; and/or provided herewith.

37 C.F.R. §1.97(b)(1), (b)(2) – WITHIN 3 MONTHS OF FILING OR ENTRY IN NATIONAL STAGE

4. Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application, no fee or certification under 37 C.F.R. §1.97(e) is required.

37 C.F.R. §1.97(b)(3), (b)(4) – PRIOR TO MAILING OF FIRST OFFICE ACTION OR FIRST ACTION AFTER REQUEST FOR CONTINUED EXAMINATION

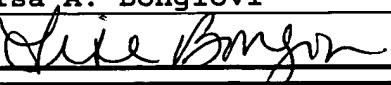
5. Since this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114, no fee or certification under 37 C.F.R. §1.97(e) is required.

37 C.F.R. §1.97(c) – AFTER FIRST ACTION, BEFORE FINAL ACTION OR ALLOWANCE

6. Since this Information Disclosure Statement is being filed outside of the period provided for in 37 C.F.R. §1.97(b), but before the mailing date of a Final Rejection or Notice of Allowance, this submission is being accompanied by (one of the following boxes must be checked):
 - a. the fee required under 37 C.F.R. §1.97(c)(2) and specified in 37 C.F.R. §1.17(p).
 - b. the statement specified in 37 C.F.R. §1.97(e) (Box 8 or 9 must be checked).

37 C.F.R. §1.97(d) – AFTER FINAL REJECTION OR ALLOWANCE

7. Since this Information Disclosure Statement is being filed after the period specified in 37 C.F.R. §1.97(c), but on or before payment of the issue fee and is accompanied by both the statement specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p). (Box 8 or 9 must be checked.)
8. In accordance with 37 C.F.R. §1.97(e)(1), Applicant's attorney certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. The foreign or international search report(s) is/are enclosed.
9. In accordance with 37 C.F.R. §1.97(e)(2), Applicant's attorney certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of the information disclosure statement.
10. In the event the Commissioner of Patents deems that any additional fee is required under 37 C.F.R. §§ 1.16 or 1.17 in connection with this application, Applicant's attorneys authorize that such fee be charged to Deposit Account No. 06-1130.
11. Consideration of this Information Disclosure Statement is respectfully requested.

| | | | | |
|-----------|---|--|---------------------|--------------------------|
| Name: | <u>Lisa A. Bongiovi</u> | | Registration Number | <u>48,933</u> |
| Signature |  | | Date | <u>November 21, 2003</u> |

CERTIFICATE OF MAILING OR TRANSMISSION: I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or [] facsimile transmitted to the U.S. Patent and Trademark Office to Facsimile No. _____ on the date shown below.

| | | |
|-----------|---|--------------------------|
| Name: | <u>Patricia A. Hart</u> | |
| Signature |  | |
| | Date | <u>November 21, 2003</u> |

SEARCHER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.